HUMAN RESEARCH ETHICS COMMITTEE: (MEDICAL)

STANDARD OPERATING PROCEDURE

FOCUS GROUP DISCUSSIONS, IN-DEPTH INTERVIEWS, AUDIO CONSENT

SOP-HREC-008 (VERSION 1)

| SUBJECT | Procedure for approval of Focus Group Discussions, In-depth Interviews, Audio consent, use of photographs and filming, by the University of the Witwatersrand, Human Research Ethics Committee: (Medical) |
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| DIVISION / SCOPE: | University of the Witwatersrand, Human Research Ethics Committee (Medical) |
| REVISION: | Ethics Secretariat |
| PURPOSE: | This procedure describes the process to be followed by the Wits HREC (Medical) for the review and approval of Focus Group Discussions, Indepth Interviews, Audio consent and the use of photographs and filming. |
| PREVIOUS VERSIONS / (REASON FOR REVISION) | First Version |
| CONTENT: | INDEX 1. POLICY STATEMENT 1.1 Focus Group Discussions 1.2 In-depth Interviews 1.3 Audio consent 1.4 Photographs 1.5 Filming 2. A separate PIL/ICON for each 3. DEFINITIONS AND ABBREVIATION 4. REFERENCES |
| Signature of Chair / Co-Chair of Wits HREC (Medical): Paul Ruff APPROVALS: | |

Date: 2025/01/13

DATE: JANUARY 2025

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1. Policy statement

Procedure for approval of Focus Group Discussions, In-depth Interviews, Audio consent, use of photographs and filming, by the University of the Witwatersrand, Human Research Ethics Committee: (Medical)

1.1 Focus Group Discussions

A separate Participant Information Leaflet and Informed Consent Form (PIL/ICON) for Focus Group Discussions is required, which includes the following:

- the duration of the discussion
- how confidentiality will be maintained
- a sentence that explains that 'although we will ask participants to maintain confidentiality there is no way to ensure this will be guaranteed'

1.2 In depth Interviews (Individual)

A separate Participant Information Leaflet and Informed Consent Form (PIL/ICON) for In-depth Interviews is required, which includes the following:

- Duration of the interview
- How confidentiality will be maintained

1.3 Audio-Records

- HPCSA regulations: keep for 2 years after publication or 6 years if no publication
- A separate signature line/consent for audio recording is required
- A tick-box is not accepted; there must be a signature line to consent to the audiorecordings

1.4 Photographs

A separate Participant Information Leaflet and Informed Consent Form (PIL/ICON) for Photographs is required, which includes the following:

- Include explicit description of what will be photographed
- How photograph will be used
- How confidentiality will be maintained
- Where photographs will be shown
- There must not be any identifiers, e.g. facial features, tattoos and birthmarks

1.5 Filming Participants

- Participants who agree to have their face shown on camera must be made aware of the risks and possible long-term implications of being filmed.
- Proper informed consent is required. The applicant must draft a Participant Information Leaflet and Informed Consent Form for review, to cover all eventualities.
- The Wits HREC (Medical) policy is a separate PIL/ICON for each; a FGD, IDI, Photographs, Filming.

When there are audio recordings, a separate signature line/consent agreeing to this must be included in each form.

3. Definitions and Abbreviations

HREC Human Research Ethics Committee

FGC Focus Group Discussion IDI In-depth Interview

4. References:

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- HPCSA Guidelines for Good Practice in the Health Care Professions, Booklet 13, September 2016, Section 13.2: "Data, including tape recordings should be stored for a minimum of 2 years after publication or 6 years in the absence of publication."
- South African Ethics in Health Research Guidelines: Principles, Processes and Structures, 2024, 3rd Edition (NDoH 2024)